


## Regional School District #14 403(b) Plan Approved Provider List

Below is a list investment providers ("Providers") who are approved to provide funding vehicles under the Regional School District #14 403(b) Plan under the circumstances described below as of the date noted. Any subsequently dated version of the list supersedes previous versions.

Providers included in this listing are subject to requirements and restrictions under the Regional School District #14 403(b) Plan document, if applicable, provided that such requirements and restrictions do not increase the rights and benefits otherwise set forth in the investment products offered by the approved Providers.

Effective Date: July 1, 2017

By: Wayne McAllister, Director of Finance and Operations

 \_\_\_\_\_, for Regional School District #14  
Authorized signature

A. Providers authorized to receive contributions (i.e., pretax elective deferrals, Roth 403(b) contributions, and/or employer contributions, as applicable) under the 403(b) Plan

- AXA Equitable
- Voya
- MetLife
- Ameriprise
- Compass Capital Management
- Franklin Templeton

B. Providers authorized to receive transfers under Regional School District #14 403(b) Plan:

Note: Providers that are authorized to receive transfers under the Regional School District #14 403(b) Plan under an Information Sharing Agreement are not required to be providers authorized under Section A above to receive ongoing contributions under the Regional School District #14 403(b) Plan.

- AXA Equitable
- Voya
- MetLife
- Ameriprise
- Compass Capital Management